

PTO-1390 (Rev. 12-2004)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER M500-F112
INTERNATIONAL APPLICATION NO. PCT/EP03/06191	INTERNATIONAL FILING DATE 12 June 2003	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 107517805
TITLE OF INVENTION DERIVATIVES OF CHROMEN-2-ONE AS INHIBITORS OF VEGF PRODUCTION IN MAMMALIAN CELLS		PRIORITY DATE CLAIMED 13 June 2002
APPLICANT(S) FOR DO/EO/US Ernesto Menta et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). </p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Return Receipt Postcard</p>		

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U.S. APPLICATION NO. (if known, see 37 CFR 1.6)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER																															
10/517805		PCT/EP03/06191		M500WO-F112																															
21. The following fees are submitted:																																			
<table border="1"> <tr> <td><input checked="" type="checkbox"/></td> <td>a) Basic national fee</td> <td>\$300.00</td> <td></td> <td></td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>b) Examination fee</td> <td>\$200.00</td> <td></td> <td></td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>c) Search fee</td> <td>\$500.00</td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="3">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$1000.00</td> <td colspan="2">\$ 1,000.00</td> </tr> </table>						<input checked="" type="checkbox"/>	a) Basic national fee	\$300.00				<input checked="" type="checkbox"/>	b) Examination fee	\$200.00				<input checked="" type="checkbox"/>	c) Search fee	\$500.00				TOTAL OF ABOVE CALCULATIONS =			\$1000.00	\$ 1,000.00							
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TOTAL OF ABOVE CALCULATIONS =			\$1000.00	\$ 1,000.00																															
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																																			
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																																
108 - 100 =	8 /50 =	1	x \$250.00	250.00																															
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).																																			
<table border="1"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> <th colspan="2"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>14 - 20 =</td> <td></td> <td>x</td> <td>\$ 0.00</td> <td></td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td></td> <td>x</td> <td>\$ 0.00</td> <td></td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(s) (if applicable)</td> <td>+ 360.00</td> <td colspan="2">\$ 360.00</td> </tr> <tr> <td colspan="3">TOTAL OF ABOVE CALCULATIONS =</td> <td colspan="3">\$ 1,740.00</td> </tr> </tbody> </table>						CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	14 - 20 =		x	\$ 0.00		Independent claims	1 - 3 =		x	\$ 0.00		MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+ 360.00	\$ 360.00		TOTAL OF ABOVE CALCULATIONS =			\$ 1,740.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																																
Total claims	14 - 20 =		x	\$ 0.00																															
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TOTAL OF ABOVE CALCULATIONS =			\$ 1,740.00																																
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by $\frac{1}{2}$.																																			
SUBTOTAL = \$ 870.00																																			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).																																			
TOTAL NATIONAL FEE = \$ 870.00																																			
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property																																			
TOTAL FEES ENCLOSED = \$ 870.00																																			
				Amount to be refunded:	\$																														
				Amount to be charged:	\$ 870.00																														
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>031182</u> in the amount of \$ <u>870.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>031182</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.																																			
SEND ALL CORRESPONDENCE TO: <u>Donald W. Wyatt</u>																																			
SIGNATURE: <u>Donald W. Wyatt</u>																																			
NAME <u>Donald W. Wyatt</u>																																			
CUSTOMER NUMBER: 32516 REGISTRATION NUMBER <u>40,879</u>																																			

10/517805

DT12 Rec'd PCT/PTO 10 DEC 2004

PTO/SB/17 (12-04)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 870.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Ernesto Menta
Examiner Name	Not Yet Assigned
Art Unit	N/A
Attorney Docket No.	M500-F112

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify): _____
 Deposit Account Deposit Account Number: 031182 Deposit Account Name: Cell Therapeutics, Inc.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee
 Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17 Credit any overpayments

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	500.00
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent

Fee (\$)	Small Entity Fee (\$)
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50 25

Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent

200 100

Multiple dependent claims

360 180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims
14	- 20 =	x	=	Fee (\$)
				180

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
1	- 3 =	x	=

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
108	- 100 =	8 /50 1 (round up to a whole number)	x 125.00	= 125.00

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: 2631 Basic National Stage fee 150.00

2632 National Stage search fee 250.00

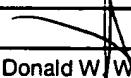
2633 National Stage examination fee 100.00

2617 National Stage oath or declaration after thirty months from priority ... 65.00

2681 National Stage application size fee - for each additional 50 sheets ... 125.00

2616 National Stage claims - multiple dependent 180.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	40,879	Telephone	(206) 272-4243
Name (Print/Type)	Donald W. Wyatt			Date	December 10, 2004

Express Mail Label No. EL230471352US Dated: December 10, 2004

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2 Rec'd PCT/PTO 10 DEC 2004

FEE SUMMARY SHEET

Transmittal to US Designated-Elected Office (PTO 1390)

Date: December 10, 2004 Filing Date: June 12, 2003
Time: 5:12 PM Application No: PCT/EP03/06191
Docket: M500WO-F112 Total Fee: \$ 870.00

Code	Amount	37 CFR	Fee Description	Listed on
2631	150.00	1.492	Basic National Stage fee	Transmittal to US Designated-Elected Office (PTO 1390)
2632	250.00	1.492	National Stage search fee	Transmittal to US Designated-Elected Office (PTO 1390)
2633	100.00	1.492	National Stage examination fee	Transmittal to US Designated-Elected Office (PTO 1390)
2617	65.00	1.492(e)	National Stage oath or declaration after thirty months from priority date	Transmittal to US Designated-Elected Office (PTO 1390)
2681	125.00	1.492	National Stage application size fee - for each additional 50 sheets that exceeds 100 sheets	Transmittal to US Designated-Elected Office (PTO 1390)
2616	180.00	1.492(d)	National Stage claims - multiple dependent	Transmittal to US Designated-Elected Office (PTO 1390)

Application No. (if known): PCT/EP03/06191

Attorney Docket No.: M500WO-F112

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EL230471352US in an envelope addressed to:

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Alexandria, VA 22313-1450

on 10 December 2004
Date



Signature

Donald W. Wyatt

Typed or printed name of person signing Certificate

40,879
Registration Number, if applicable

(206) 272-4243
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Postcard
Fee Transmittal & Fee Summary Sheet (2 pages) and copy (2 pages) (4 pages total)
Copy of the International application (108 pages)
Transmittal Letter to the United States Designated-Elected Office (2 pages)
Certificate of Express Mailing (1 page)
Application Data Sheet (3 pages)
Copy of the International application (108 pages) and International Search Report (5 pages) (113 pages total)
Charge \$870.00 to deposit account 031182